

NIH Update:

1. Continued funding for FY 2007:

No changes in procedures. **Non-modular grants continue to receive 3%** future year escalations.

2. Non-competing research awards:

See: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-07-049.html>

Awards for all research mechanisms – modular and categorical are made at 97.1% of FY 2007 committed levels.

3. Change in standing receipt dates effective February 2007:

See: <http://grants.nih.gov/grants/funding/submissionschedule.htm>

4. Multiple PIs:

NIH allows applicants and their institutions to identify up to 10 PIs.

Should be listed as PD-PI. The first person listed is the contact individual.

A “Leadership Plan” must be included in the proposal. NIH will see the omission as an “error”.

“New Investigator” may be checked ONLY if ALL are new PI’s.

5. Limits on Appendix materials:

New restrictions in effect after January 3, 2007.

See: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-07-018.html>

Materials allowed in the appendix:

Applicants may submit up to 3 of the following types of publications:

- a. Manuscripts and/or abstracts accepted for publication but not yet published
- b. Published manuscripts and/or abstracts ONLY when a free, online, publicly available journal link is not available. Published manuscripts and/or abstracts that are publicly available in a free, online format may be REFERENCED in biosketch, progress report publication section, literature cited/bibliography & References section.
- c. SF 424 (R&R) applications may include graphic images of gels, micrographs, photographs, etc, in the Research Plan PDF; however, these images may NOT be included in the Appendix (except when a part of a qualifying publication). See the SF 424 (R&R) Application Guide for guidance as to size and resolution of images).
- d. Patent materials when directly relevant.
- e. Surveys, questionnaires, data collection instruments and informed consent documents as necessary.
- f. Paper PHS 398 applications ONLY may include full-size glossy photographs.

6. New Training Resources

a. Inside the NIH Peer Review Process

<http://cms.csr.nih.gov/ResourcesforApplicants/InsidetheNIHGrantReviewProcessVideo.htm>

The Center for Scientific Review has produced a video of a mock study section meeting to provide an inside look at how NIH grant applications are reviewed for scientific and technical merit. The video shows how outside experts assess applications and how review

meetings are conducted to ensure fairness. The video also includes information on what applicants can do to improve the chances their applications will receive a positive review.

b. Automated Training Resources:

- era commons registration
- Completing a Grants.gov Application
- Create your own commons demo account
- Hands-on Workshop guide
- E-submission pop quiz

<http://era.nih.gov/ElectronicReceipt/training.htm>

7. NIH Office of Inspector-General Work Plan – Highlights

- a. University Administrative and Clerical Salaries – are they properly charged?
- b. Level of Commitment and Effort Reporting
- c. Cost Transfers – are the costs allowable and supported by appropriate documentation and certified?

8. On Time Submission

- a. Initial submission must have a Grants.gov timestamp on or before the 5:00 P.M. local time of submitting organization.
- b. NIH will allow changed/corrected applications to be submitted to address errors and warnings within 5 business days after the receipt date. Changes must be made in response to errors/warnings posted in Commons. A Cover Letter is required.
- c. After error-free application, an assembled application image is generated and placed in the ERA Commons. Applicants have TWO WEEKDAYS (M-F, including holidays) to view the assembled application before it automatically moves forward.
- d. SO (Signing Official) has the right to “reject”.
- e. If rejected, after the receipt date for any reason, other than system formatting issues, corrected application is subject to the NIH late policy.
- f. PI will receive a notice from NIH if SO rejects the application.
- g. Most common problems are:
 - Senior/key personnel Profile must contain the PI's have the Commons USER ID.
 - All non-Pure Edge Viewer attachments must be in PDF format.

9. Resubmission Policy

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-07-015.html>

- a. Clarifies the number of resubmissions (revisions / amendments) allowed
- b. New application expected to be substantially different in content and scope.
- c. Should have substantial changes in the Research Plan.
- d. Should include fundamental changes in the questions asked and/or outcomes examined.
- e. Requests for review by a different review committee or funding consideration by a different NIH institute are not sufficient reasons for an application to be seen as new.

Resubmission FAQ's: http://grants.nih.gov/grants/policy/resubmission_q&a.htm

10. Other support

- a. NIH requires up to date other support before an award can be made. This means all support of research including federal and non-federal.

- b. Grantees must report changes in other support as a part of progress report.
See: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-03-029.htm>

11. Person months FAQs

- a. Metric for expressing the effort (amount of time) that PI(s) faculty and other senior personnel devote to a project.
- b. Effort based on organization's regular academic, summer or calendar year.
- c. How do you calculate person months?
Multiply the percentage of effort by the number of by appointment:
 - example: 10% of a 9 month employee = .9 months
 - 10% of a 12 month employee = 1.2 months.

See http://grants.nih.gov/grants/policy/person_months_faqs.htm

12. NIH Public Access Policy – effective May 2, 2005

NIH – funded investigators are requested to submit to the NIH National Library of Medicine's (NLM) PubMed Central (PMC) an electronic version of the author's final manuscript upon acceptance for publication, resulting for publication, resulting from research supported, in whole or in part, with direct costs from NIH.

Policy applies to:

- a. Currently funded NIH research projects
- b. Previously supported NIH research projects if they are accepted for publication after May 2, 2005.

Policy does not apply to:

- a. Book chapters, editorials, reviews or conference proceedings
- b. Publications resulting from non-NIH supported research should not be submitted.

Public Access Policy Resources

Public Access Policy Website: <http://publicaccess.nih.gov>

NIH Manuscript Submission: <http://nihms.nih.gov>

Authors Manual: http://publicaccess.nih.gov/publicaccess_manual.htm

13. NIH Resources

1. Extramural Nexus: Subscribe at Listserv@list.nih.gov including only the words SUBSCRIBE EXTRAMURAL NEXUS in the body of the message.
2. NIH Workshops and Seminars from Office of Extramural Research: <http://grants1.nih.gov/grants/outreach.htm>
3. e-submission: <http://era.nih.gov/ElectronicReceipt/listserv.htm>
Provides updates on electronic grant application process
4. NIH Guide for Grants and Contracts: <http://grants.nih.gov/grants/guide/listserv.htm>